

The background of the slide is a light beige, textured surface resembling aged paper. It is decorated with numerous black ink splatters and dots of varying sizes, primarily concentrated on the left side and scattered across the upper half of the page.

# Open Access Policies

Hope College Faculty Meeting  
October 2010



# Library Committee

- Last Year
  - Studied policies from other institutions – Harvard, Oberlin, Trinity University, Rollins College
  - Reviewed arguments for and against adopting an Open Access policy at Hope
- This Year
  - Voted to draft a policy for consideration by the Academic Affairs Board

# What is an Open Access Policy?

- The goal of a campus open-access policy is to ensure that the scholarly publications of faculty are freely available online, without interfering with an author's freedom to publish where she chooses.
- A commitment to disseminating the results of faculty research & scholarship as widely as possible.
- Typically, faculty members grant the College a nonexclusive license to make their scholarly articles openly accessible in an institutional repository.



# Why Open Access?

- Accelerates discovery
- Democratizes access (articles are available to everyone - not just those who can afford them)
- Increases the impact of faculty research (articles are cited more often)
- Increases visibility and availability of the scholarly output of the institution while ensuring preservation & compliance with copyright law

# What Do OA Policies Do?

- Apply only to peer-reviewed journal articles accepted for publication
- May have an embargo period before the article is made public (depending on the publisher)
- Have an unconditional opt-out or waiver clause for a given article if needed to accommodate an individual circumstance



# What Open Access Policies Don't Do?

- Do not change where you submit your article for publication
- Do not force publishers to change their underlying business model
- Do not require that faculty publish in open access journals (although OA journals are gaining wide respectability and high impact factors)

# How Do Open Access Policies Work?

- They secure a specific non-exclusive license for author's works so that they can be deposited in an IR
- Authors retain ownership and complete control of the copyright for articles and may exercise their copyrights including transferring rights to the publisher of a journal that has accepted the article for publication



# How Do OA Policies Work With Publishers Agreements?

- Many publishers permit, as a matter of policy, self-archiving or deposit in an I.R.
- When a publisher's policy does not automatically permit deposit in an I.R., authors can add an author's addendum to the publication agreement.



## Publisher copyright policies

One journal found when searched for **biology and philosophy**:

Journal: <b>Biology and Philosophy</b> (ISSN: 0169-3867) Publisher: <b>Springer Verlag</b>	
This summary is for the publisher's <i>default</i> policies and changes or exceptions can often be negotiated by authors. <i>All information is correct to the best of our knowledge but should not be relied upon for legal advice.</i>	
Publisher:	<a href="#">Springer Verlag</a> (Germany)
Author's Pre-print:	✓ author can archive pre-print (ie pre-refereeing)
Author's Post-print:	✓ author can archive post-print (ie final draft post-refereeing)
Publisher's Version/PDF:	✗ author cannot archive publisher's version/PDF
General Conditions:	<ul style="list-style-type: none"> <li>• Authors own final version only can be archived</li> <li>• Publisher's version/PDF cannot be used</li> <li>• On author's website or institutional repository</li> <li>• On funders designated website/repository after 12 months at the funders request or as a result of legal obligation</li> <li>• Published source must be acknowledged</li> <li>• Must link to publisher version</li> <li>• Set phrase to accompany link to published version (The original publication is available at <a href="http://www.springerlink.com">www.springerlink.com</a>)</li> <li>• Articles in some journals can be made Open Access on payment of additional charge</li> </ul>
Mandated OA:	Compliance data is available for <a href="#">34 funders</a>
Paid open access:	<a href="#">Open Choice</a>
Copyright:	<a href="#">example copyright transfer statement</a> - <a href="#">Self-archiving Policy</a>
RoMEO:	This is a RoMEO <b>green</b> publisher
Updated:	23-Jun-2010. <a href="#">Suggest an update for this record</a>

## Search again?

Search ☒ **Journal titles or ISSNs** ☐ **Publisher names**

for

find ☐ **contains** ☒ **starts with** ☐ **Exact phrase only** ☐ **ISSN**

Show open access mandate compliance in results for:

### RoMEO Colour

[Green](#)

[Blue](#)

[Yellow](#)

[White](#)

### Archiving policy

Can archive pre-print *and* post-print or publisher's version/PDF

Can archive post-print (ie final draft post-refereeing) or publisher's version/PDF

Can archive pre-print (ie pre-refereeing)

Archiving not formally supported

[More on colours and restrictions](#)

or

[View all publishers](#)

Journal information kindly provided by:

- The British Library's [Zetoc](#) service hosted by MIMAS,
- The [Directory of Open Access Journals](#) (DOAJ) hosted by Lund University Libraries,
- The [Entrez](#) journal list hosted by the NCBI.

# What Does This Mean for Faculty?

## Benefits

- Your work is freely available to scholars world-wide
- Increased visibility of your scholarship / potentially cited more often
- Your work is archived and discoverable via Google
- You are helping to market the scholarship of the institution
- You are contributing to the public good



# What Does This Mean for Faculty?

## Costs

- A few minutes of your time
  - Submit bibliographic information and a .PDF of an article accepted for publication
  - The library will determine if the publisher allows deposit in I.R
    - May need to request an author addendum or
    - May need to opt-out of institutional license for that particular article

# Other Costs

- Need to implement an Institutional Repository (already in library budget)
- Reallocate work of librarians to handle submissions
- Oversight of the policy and implementation by the governance system



# Next Steps

- Library Committee will continue to study policies from other institutions and draft a Hope College Open Access Policy
- Library Committee will submit the draft policy to the Academic Affairs Board
- Library Committee will probably recommend
  - Holding an open forum on Open Access
  - That faculty vote on adoption of a policy

# Learn More About OA

- [Guide to Open Access](#): what is it and why is it important? Button on Library Home Page
- Members of the Library Committee 2010 – 2011
  - Chris Barney, Chair
  - Carol De Jong
  - Jonathan Hagood
  - Kim Hawtrey
  - Richard Piippo
  - Kelly Jacobsma